

11060 U.S. PTO
01/24/02

1-28-02
**APPLICATION FOR UTILITY PATENT
COVER SHEET**

A
Case No. P-10334-US
Date: January 24, 2002
Page 1

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: NEW PATENT APPLICATION

Sir:

This is a request for filing an APPLICATION FOR UTILITY PATENT under 37 CFR § 1.53(b).

For: **SURF TOY ACTION FIGURE AND SIMULATED SURFING GAME**

Name of 1st Inventor: Thomas J. Lochtefeld
Residence Address: 210 Westbourne St.
La Jolla, CA 92037

Enclosed are:

- (X) Specification in 22 pages
- (X) 10 Sheets of (~~Formal~~/Informal) Drawings.
- () Recordation form cover sheet with ___ page assignment.
- () Power of attorney form.
- () Oath/Declaration of Inventors.
- () Please charge \$___ to my deposit account No. 50-1746 to cover the filing fee. Please charge any additional fees which may be due or credit any overpayments to my Acct. No. 50-1746.
- (X) Fee Calculation Sheet.
- (X) Filing fee of \$ 615 to be paid at a later date.
- (X) Certificate of Express Mailing.
- (X) A return prepaid postcard
- (X) Applicant qualifies for small entity status under 37 CFR § 1.27.
- (X) Applicant claims priority under 35 USC § 119(e) to U.S. provisional application Ser. No. 60/263,962 filed January 24, 2001.

10971 U.S. PTO
10/056893
01/24/02

**APPLICATION FOR UTILITY PATENT
COVER SHEET**

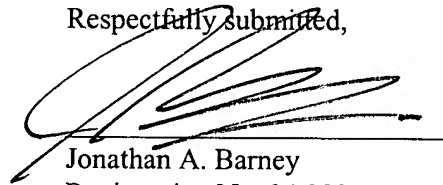
Case No. P-10334-US
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Page 2

Was this invention made by an agency of the United States Government or under a contract with an agency of the United States Government?

- (X) No.
() Yes. The name of the U.S. Government agency and the Government contract number are:

- (X) Please use Customer No. **27,948** for the correspondence address.

Respectfully submitted,



Jonathan A. Barney
Registration No. 34,292
Customer No. 27,948

Utility Transmittal
070-1038/jb

UTILITY TRANSMITTAL
070-1038/jb
JAN 24 2002
P-10334-US
PAGE 2

LAW OFFICES
JONATHAN A. BARNEY, ESQ.[†]
INTELLECTUAL PROPERTY LAW

January 24, 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Attorney Docket No. : P-10334-US

Applicant(s) : Thomas J. Lochtefeld

For : SURF TOY ACTION FIGURE AND
SIMULATED SURFING GAME

Attorney : Jonathan A. Barney

"Express Mail"
Mailing Label No. : ET654918971US

Date of Deposit : January 24, 2002

I hereby certify that the accompanying

Transmittal in Duplicate; Specification in 22 pages; 10 sheets of drawings; Fee Calculation Sheet (fee to be paid later); Return Prepaid Postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Jonathan A. Barney

Express Mail Cert.
070-1037/jb

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

TOTAL AMOUNT OF PAYMENT \$615.00

Application Number
Filing Date 1/24/2002
First Named Inventor Thomas J. Lochtefeld
Examiner Name
Group Art Unit
Attorney Docket No. P-10334-US

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number #50-1746
Deposit Account Name LAW OFFICES OF JONATHAN A. BARNEY (Customer ID# 27948)

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	\$370.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) \$370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
40	-20** = 20	\$9.00	\$180.00
3	-3** = 0	\$42.00	\$0.00
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$550.00

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	\$65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) -

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$615.00

SUBMITTED BY

Name (Print/Type) Jonathan A. Barney, Attorney of Record
Signature

Registration No. (Attorney/Agent)

34,292

Complete (if applicable):

Telephone (949) 645-2535

Date 24-Jan-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.